FORM PTO-139 (REV 12-29-99)	90 U.S. DEPAR	TMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER						
TRANSMITTAL LETTER TO THE UNITED STATES		2560US0P							
	DESIGNATED/ELECT	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/807647							
(CONCERNING A FILI								
		INTERNATIONAL FILING DATE October 19, 1999	PRIORITY DATE CLAIMED October 20, 1998						
TITLE OF INVENTION 1,5-Benzodiazepine Compounds, Their Production and Use									
APPLICANT(S) FOR DO/EO/US Satoru OI et al.									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 									
									3 4. X
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
	a. is transmitted herewith (required only if not transmitted by the International Bureau).								
	b. X has been transmitted by the International Bureau.								
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. X	A translation of the International Application into English (35 U.S.C. 371(c)(2)). * A mendments to the claims of the International Application under PCT Article 10 (35 ÅLS C. 371(c)(2)).								
'· 🗀	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are transmitted herewith (required only if not transmitted by the International Bureau).								
	 a. are transmitted herewith (required only if not transmitted by the International Bureau). b. have been transmitted by the International Bureau. 								
1	c. have not been made; however, the time limit for making such amendments has NOT expired.								
	d. have not been made and will not be made.								
8.	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items 11. to 16. below concern document(s) or information included:									
11. X		ement under 37 CFR 1.97 and 1.98.	•						
12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13.	A FIRST preliminary amendment	nt							
	A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.								
14.	4. A substitute specification.								
15.									
16. X Other items or information:									
Sequence Listing (Paper & Computer-readable copies) Sequence Listing Statement									
	,	* This includes specification Claims 1-18 (9 pgs), Abstra listing (4 pgs) .							
			•						

U.S. APPLICATION NO. III	807647	PCT/JP99/05754			2560USOP				
17. X The fol	lowing fees are submitted:			CA	ALCULATIONS	PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):									
Neither international preliminary examination fee (37 CFR 1.482)									
	onal search fee (37 CFR 1.4 onal Search Report not prep								
International USPTO but I	preliminary examination fenternational Search Report								
	preliminary examination fee search fee (37 CFR 1.445)								
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$670.00									
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)									
	ENTER APPRO	\$	860.00						
	0.00 for furnishing the oath		30	\$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total claims	18 - 20 =	0	X \$18.00	\$	0.00				
Independent claims	<u> </u>	1	X \$ 80.0	\$	80.00				
MULTIPLE DEP	ENDENT CLAIM(S) (if appli	icable)	+ \$260.00	\$	0.00				
	TOTAL	OF ABOVE CALCULAT	TIONS =	\$	940.00	:			
	for filing by small entity, id (Note 37 CFR 1.9, 1.27,	\$							
		SUBT	OTAL =	\$	940.00				
	\$130.00 for furnishing the earliest claimed priority de		\$						
	, , , , , , , , , , , , , , , , , , , ,	TOTAL NATION		s	940.00				
	g the enclosed assignment (an appropriate cover sheet	\$	40.00						
1	· · · · · · · · · · · · · · · · · · ·	\$	980.00						
	-	TOTAL FEES ENC		A	mount to be	\$			
				├	refunded:	\$			
		·			charged:	.			
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. X Please charge my Deposit Account No. 500799 in the amount of \$ 980.00 to cover the above fees.									
c. X The Co	A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.								
overpa	yment to Deposit Account	No A duplica	e copy of this sh	icet i	is enciosea.				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
1.13/(a) or (b)) must be med and granted to restore the application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Custor	ner No. 23,115	e Y. Riesen, Reg. No. 35,657							
1				thao, Ph.D., Reg. No. 37,293					
Date: A					April , 2001				
					•				
L									

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

tba

Filed:

tba

1st Inventor:

Satoru OI

For:

1.5-Benzodiazepine Compounds, Their Production and Use

Atty. Dkt. No.

2560 ÚS0P

Art Unit:

tba

Examiner:

tba

Allowed:

Batch:

Paper No.:

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

USPS EXPRESS MAIL LABEL. No. EL 784119258 US

DATE IN:

April 16, 2001

Itemized Papers/Items:

- This certificate and a Return Receipt Postcard (2 pgs.) 1.
- 2. Transmittal Letter for Filing under 35 USC 371 (2 pgs. X 2)
- 3. English Translation of the International Application as filed in Japanese [This includes Specification 221 total pages, including Claims 1-18 (9 pgs.), Abstract (1 pg.) and Sequence Listing (4 pgs.)]
- Executed Declaration/Power of Attorney (4 pgs.) 4.
- Information Disclosure Statement (2 pgs.) with PTO form 1449 (1 pg.), and copies of 5. IDS citations (6), and the International Search Report (2 pgs.)
- 6. Executed Assignment (2 pgs.) and assignment cover sheet (1 pg. X 2)
- 7. Sequence Listing Statement (1 pg.)
- Sequence Listing (Paper & Computer-readable copies) (2 pgs.) 8.

The undersigned hereby certifies that the above itemized papers are together being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service (USPS) in an envelope with sufficient postage, having the USPS Express Mail Label No. shown above, and addressed to:

BOX PCT

Commissioner for Patents Washington, D.C. 20231.

on this date, 4/16/01.

Gail L. Winokur

Dated: